JFW 3739



PATENT Attorney Docket No. 0320-0015 HOOV 115

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
Michael D. Hooven	CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label No.:
Serial No.: 10/015,346	Date of Deposit September 29, 2004
Filed: December 12, 2001) I hereby certify that this paper or fee is being deposited with the United States Postal Service
Group Art No.: 3739	"Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Amendment, Commissioner
Examiner: Rosiland S. Rollins	for Patents, P.O. Box 1450, Alexandria, VA 22313- 1450 NAME <u>Kathleen Johnson</u>
For: TRANSMURAL ABLATION DEVICE WITH CURVED JAWS	signature Kattler Johnson
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Certificate of Mailing Under 37 CFR 1.10

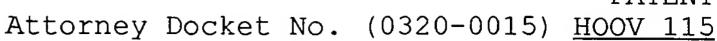
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- 1. Transmittal Form PTO/SB/21 (1 sheet);
- 2. Information Disclosure Statement (3 sheets), in duplicate;
- 3. PTO/SB/08A (1 sheet);
- 4. U.S. Patent Publications (3);
- 5. Certificate of Mailing (1 sheet); and
- 6. Return Receipt Postcard.

Name:	Kathleen Johnson
Signatur	e. Bathloon Wangon

d ing. Di		PTO/SB/21 (02-04 Approved for use through 07/31/2006. OMB 0651-003			
Labor the Paperwork Reduction Act of 1995		nt and Trademark Office; U.S. DEPARTMENT OF COMMERCE on of information unless it displays a valid OMB control number 10/015,346			
TRANSMITTAL	Filing Date				
FORM	First Named Inventor	December 12, 2001 Michael D. Hooven			
	Art Unit				
(to be used for all correspondence after initial	Examiner Name	3739			
	Examiner Name	Rosiland S. Rollins			
Total Number of Pages in This Submission	Attorney Docket Number	0320-0015 (HOOV 115)			
	ENCLOSURES (Check all that	t apply)			
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks By U.S. Postal Service Label No. EV 45944962	Other Enclosure(s) (please Identify below): - PTO/SB/08A (1 sheet) - 3 Patent Publications - Certificate of Mailing - Return Receipt Postal Card Express Mail			
SIGNA	TURE OF APPLICANT, ATTORN	EY, OR AGENT			
Firm Cook, Alex, McFarron Renee Barthel, Registration Signature Date September 29, 2004	Barth	Ltd.			
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Typed or printed name Kathleen Joh	nson				
Signature Kathle	een Bhnaon	Date September 29, 2004			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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In Re Application of:	
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Michael D. Hooven	MAIL" "Express Mail" Mailing Label No.:
Serial No.: 10/015,346	EV459449625US Data of Denosity Sortember 20, 2004
	Date of Deposit: <u>September 29, 2004</u> I hereby certify that this paper or fee is being
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Examiner: Rosiland S. Rollins) 1450. NAME Kathleen Johnson
For: TRANSMURAL ABLATION DEVICE	SIGNATURE Hattileen Johnson
WITH CURVED JAWS)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. § 1.97, Applicant hereby calls the Examiner's attention to the documents listed on the attached form, which documents may be material to the examination of this application. Copies of the documents are enclosed herewith for the Examiner's consideration.

No inference should be drawn that any apparatus or method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed document is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of the document herein is not to be construed as an admission that any subject matter disclosed in the document

is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of the document discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Information Disclosure Statement is being filed after the mailing date of a first Office Action on the merits but before a final action or an action that closes prosecution. The first reference cited was first called to Applicant's attention in an Office Action dated September 8, 2004 in related Application Number 10/328,057 filed on December 23, 2002. The second and third cited references were first called to Applicant's attention in an Office Action dated September 8, 2004 in related Application Number 10/015,868 filed on December 12, 2001. Accordingly, pursuant to 37 C.F.R. § 1.97(e)(2), it is hereby stated: no item of this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the best knowledge of the person signing this certification after making a reasonable inquiry, no item of information contained information disclosure statement was known to this in

individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement. Consequently, as provided in 37 C.F.R. § 197(c), a fee under § 1.17(p) is not required for the filing of this information disclosure. However, if it is determined that an additional fee is required, then authorization is hereby granted to charge Deposit Account 50-1039 any additional fee required. (A duplicate copy of this document is enclosed.)

Date: September 29, 2004

Respectfully submitted,

By: Janes Cold

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Attorneys for Applicant

PTO/SB/08A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

of

Complete II Known			
Application Number	10/015,346		
Filing Date	December 12, 2001		
First Named Inventor	Michael D. Hooven		
Art Unit	3739		
Examiner Name	Rosiland S. Rollins		
Attorney Docket Number	0320-0015 (HOOV 115)		

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	^{US-} 6,083,150	07-04-2000	Aznoian, et al.	
	2	^{US-} 6,113,598	09-05-2000	Baker	
	3	^{US-} 6,162,220	12-19-2000	Nezhat	
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	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ ⁶
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Examiner	Date	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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